

COMMISSIONER FOR PATENTS
Washington, D.C. 20231

SERIAL NO. 09/870,610

IBM DOCKET NO. AUS920010361US1

DATE: August 2, 2005

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Group Art Unit: 2141
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FROM: Joseph T. Van Leeuwen
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Docket No. AUS920010361US1

Serial No. 09/870,610

Atty: DLR / JVL

Applicant: Banerjee, et al.

<input checked="" type="checkbox"/> Transmittal Letter (2 copies)	<input checked="" type="checkbox"/> Certificate of Facsimile
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<input checked="" type="checkbox"/> Form PTO-1449 (Modified)	
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Deposit Acct. No. 09-0447

Fees: Amendment Notice of Appeal Appeal Brief Other \$180.00

Atty Ref. No. 1020

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Certificate of Mailing or Transmission	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.	
 Joseph T. Van Leeuwen	8/2/05 Date

Sir:

Transmitted herewith for filing is the Patent Application of:

Inventors: Banerjee, et al.

For: System and Method for Extending Server Security Through Monitored Load Management


Enclosed are:

- ☒ Information Disclosure Statement;
- ☒ Modified form 1449 listing 4 references;
- ☒ copies of any non-U.S. Patent references listed on form 1449.

37 CFR § 1.97 is satisfied by the following:

- ☒ This IDS is being filed pursuant to CFR § 1.97(c) and:
 - ☒ is accompanied by the fee set forth in § 1.17(p), please charge my Deposit Account No. 09-0447 the fees set forth in § 1.17(p) [\$180.00]. (a duplicate copy of this sheet is enclosed).
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 09-0447. A duplicate copy of this sheet is enclosed.
- ☒ Any additional patent application processing fees under 37 CFR Sect. 1.17.

Respectfully submitted,

By 
Joseph T. Van Leeuwen
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Atty Ref. No. 1020

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DATE: August 2, 2005

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In re application of:	:	Group Art Unit: 2141
Banerjee, et. al.	:	Intellectual Property Law Department
Serial No.: 09/870,610	:	International Business
Filed: May 31, 2001	:	Machines Corporation
Title: <u>System and Method for Extending</u>	:	Intellectual Property Law Dept.
<u>Server Security Through Monitored</u>	:	11400 Burnet Road
<u>Load Management</u>	:	Austin, Texas 78758

INFORMATION DISCLOSURE STATEMENT

The Commissioner of Patents
Washington, D.C. 20231

Sir:

08/04/2005 MBINAS 00000009 090447 09870610


01 FC:1806 180.00 DA

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such. In accordance with 37 CFR § 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR § 1.56 (a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 CFR § 1.98 (a)(1). A copy of each listed item that is not a U.S. Patent document, is supplied herewith.

Respectfully submitted,

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Page 1 of 1

Atty Ref. No. 1020

In Place of FORM PTO-1449 (Modified)

Serial No. 09/870,610**LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT**Applicant: Banerjee, et. al.Filing Date: 5/31/2001Group: 2141Atty. Docket No. AUS920010361US1**U. S. PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Name	Classification Class / Subclass	Filing Date if Appropriate
_____	AA 5,535,375	07/09/1996	Eshel et al.	709	
_____	AB 6,182,086	01/30/2001	Lomet et al	707	
_____	AC 6,098,099	08/01/2000	Elleson et al.	709	
_____	AD 5,675,721	10/07/1997	Freedman et al.	395	
_____	AE				
_____	AF				
_____	AG				
_____	AH				
_____	AI				
_____	AJ				
_____	AK				
_____	AL				

FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Classification	Translation Yes No
_____	AM				
_____	AN				
_____	AO				
_____	AP				

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner Initial	
_____	AQ
_____	AR
_____	AS
_____	AT
_____	AU

Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.